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Date: Name: Signature:

Clifford Chance Rogers & Wells LLF

Docket No. 3499-059

DK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of:

Mancini et al.

FEB 0 5 2002

Filed:

March 16, 2000

Group Art Unit: 2

Technology Center 2100

Serial No:

09/526,606

Examiner:

to be determined

For:

ONLINE SALES RISK MANAGEMENT SYSTEM

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In order to comply with 37 CFR 1. 97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

Applicants respectfully request the Examiner's consideration of the cited reference and entry into the record of this application and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Also enclosed is a copy of the PCT Search Report issued on October 18, 2001 for the foreign counterpart of the present patent application, in which the presently disclosed references were cited. Since a translation of the Search Report is also enclosed, it is believed that the applicants in the above-identified patent application have now met the "concise explanation" requirement of 35 C.F.R. 1.98.

The present Information Disclosure Statement has been submitted prior to the receipt of an Office Action in this application. Accordingly, no fee is due. However, in the event that a first Office Action was mailed on or before the date of submission of this disclosure statement, the Commissioner is hereby authorized to charge any fees to deposit Account No. 50-0521.

Respectfully submitted,

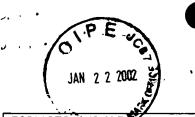
Date:

1/2/01

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FORM PTO 1449 cepartment of Commerce Patent and Trademark Office

Serial No. 09/526,606 Inventors: Mancini et al.

INFORMATION DISCLOSURE STATEMENT

Docket No. 3499-059

(Use several sheets if necessary)

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,787,402	7/28/98	Potter et al.	705	37	10/8/96
	5,963,923	10/5/99	Garber	705	37	6/3/97

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION

## OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE. DATE, PERTINENT PAGES, ETC.			
	International Search Report for PCT/US01/21812 dated October 18, 2001			
	RECEIVED			
	FEB 0 5 2002			
	Technology Center 2100			

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw I in conformance and not considered. Include copy of this form with next communication to applicant.	ine through citation if not

Form PTO-1449 [6-4]